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Serial No. 09/930,786

In reply to: Office Action mailed June 16, 2005

Page 1 of 14

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald E. Sloan

Serial No.: 09/930,786

Filed: August 15, 2001

Title: FINANCIAL PORTFOLIO RISK
MANAGEMENT

Docket No.: 60021-375602

Group Art Unit: 3628

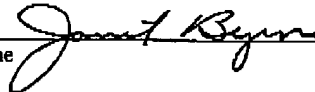
Examiner: Nga B. Nguyen

Mail Stop AF
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION

I certify that this communication is being transmitted via facsimile to Mail Stop AF, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, via facsimile number 571.273.8300 on September 12, 2005.

Janet Byrne



AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Dear Sir:

This paper is responsive to the Office Action dated June 16, 2005. Please amend the above identified application as follows.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.